

# POLICY NO. A4.04

# COMPLAINTS

#### INTRODUCTION

As with any community, Tyndale Christian School is not immune to misunderstandings, disagreements and error or wrongdoing. As a Christian community, Tyndale recognises that community and relationship is central to the Biblical message. The School is committed to ensuring that relationships are restored in accordance with Biblical principles and the School's decisions are well-founded.

# **SCOPE OF APPLICATION**

This policy is applicable to the following entities:

- Tyndale Christian School (Salisbury East)
- Tyndale Christian School Strathalbyn
- Tyndale Christian School Murray Bridge
- Emmanuel Christian Schools and Ministries

This policy deals with complaints by parents, students, volunteers and other members of the school community or public in relation to the operations of the School. This policy also deals with a complaint that an employee has with a parent, student, volunteer or other member of the school community or public, in their capacity as an employee of the School.

#### **Exclusions**

This policy does not deal with:

- complaints or grievances of employees with the School, leadership of the school or another employee;
- a complaint of one parent regarding another parent, to the extent that it is unrelated to school operations or does not have an impact on school operations.

# **INTERPRETATION**

Within this policy, unless specifically defined otherwise, the following terms shall have these meanings:

'Complaint' is an expression of dissatisfaction with a real or perceived situation or outcome – the

dissatisfaction may be based on the view that the School has done something wrong;

failed to do something that it should have; or acted unfairly or inappropriately.

'Grievance' in this policy means the same as 'complaint'.

'Parent' shall also include caregiver, guardian

'School' or 'schools' shall mean all or any one of the schools in the Tyndale group, and shall also mean an

Early Learning Centre or day care centre of the School.

#### **OBJECTIVES OF THIS POLICY**

The objectives of this policy are to:

- provide the leadership and employees of the School with a guide on complaint management;
- ensure consistency of effective treatment;
- provide those who are making a complaint with access to an open and responsive process;
- enhance the school's ability to identify trends and eliminate causes of complaints, thereby



improving the effectiveness of the School's operations.

# **IMPORTANT NOTICE**

Neither the Minister for Education and Child Development nor the Department for Education and Child Development has any power or authority to directly intervene in any complaints relating to the operations of a non-government school. The Education and Early Childhood Standards and Registration Board (the government body that has responsibility for registering schools or early learning centres) will refer any complaints made to it, back to the School to deal with.

Similarly, the Association of Independent Schools SA (AISSA) has no power or authority to deal with complaints regarding the School, and will also refer any complaints made to it, back to the school to deal with. The AISSA is a representative body for independent schools and an advocate for their member schools.

Matters of a criminal nature, or situations where there is significant risk to the safety or welfare of a student (e.g. mandatory notification) will require any school involvement to be at the discretion of and subordinate to the investigation of the relevant authority.

#### **POLICY**

The Board of Governors has delegated authority to the Head of Schools to deal with complaints arising from school operations. Except in cases of a complaint against the Head of Schools, the final decision in the case of complaints and grievances will rest with the Head of Schools.

The Head of Schools (and those who are delegated authority to deal with complaints) shall follow the principles and procedures contained in this policy when dealing with complaints.

# **PRINCIPLES**

- 1. The School ethos encourages openness to hearing the concerns of parents, students and others in the school community, and actively promotes the development of positive and respectful relationships by seeking to minimise conflict that might otherwise give rise to a complaint.
- 2. The School values feedback from parents, students and others in the school community and complaints are received in a positive manner. This also means that complaints should not be lodged which are frivolous in nature; or intended purely for malice, frustration or annoyance.
- 3. This policy will be made available to those making complaints.
- 4. The School will use procedural fairness (sometimes known as 'natural justice') when dealing with a complaint. Procedural fairness entails the following principles:
  - a. Providing the person with the complaint an opportunity to be heard;
  - b. Ensuring that decision-maker(s) in the matter does not have a conflict of interest or bias, and does not appear to bring prejudice to the matter;
  - c. The matter will be decided based on legally probative evidence. i.e. evidence which is used to prove or disprove. This will mean that probative evidence is that evidence which a person has first-hand experience with what they experienced with their own five senses. Second-hand experience is not useful as it reliant on trust that another person experienced something.
- 5. The School will deal with genuine complaints raised with it and will work with a person or persons making a complaint in a professional manner to bring about fair and swift resolution of the complaint,



including updating the person on the progress of their complaint. Those making complaints will not be treated unfairly, victimised, coerced or intimidated.

- 6. Some complaints may be minor in nature, or readily resolved, and often arise from genuine misunderstandings and/or issues relation to communication. In the vast majority of cases, these can be satisfactorily resolved informally.
- 7. The School encourages and supports those making complaints, wherever possible and as soon as practicable after a matter becomes a concern, to seek to resolve the complaint in an informal and amicable manner. This is best done through direct communication/discussion by and between the parties themselves (e.g. a parent and teacher). Should a complaint not be effectively dealt with at that level, or the complaint is raised formally, the complaint shall be escalated to the appropriate sub-school principal, or in the case of business or administrative matter, to the Business Manager, and be dealt with according to the procedures listed in this policy.
- 8. Complaints will be treated with respect and sensitivity. However, a person making a complaint should not assume that communications with the School, or any documents supplied to the School, will necessarily be kept confidential. Although the School will endeavour to deal with complaints with appropriate discretion, the School reserves the right to disclose details of the matter to other persons who in the School's opinion need to know them, in order to facilitate the resolution of the complaint. Similarly, information gathered from witnesses during investigation will be treated sensitively, but confidentiality cannot be assured. It may be impractical to conduct an investigation without disclosing the identity of the person making the complaint or others.
- 9. Records of complaints and complaint investigations will be kept.
- 10. Employees will be provided training in communication skills and complaints handling processes.
- 11. Employees involved in an investigation will be asked to not disclose the investigation details to any other person.
- 12. The making of a complaint will not result in adverse treatment of the person making a complaint, and in the case of a parent, their child.
- 13. Legal opinion or advice, including that provided by the AISSA Legal Consultant is privileged and will not be provided to a person making a complaint or others, and may not be disclosed in legal proceedings.



# **PROCEDURE**

### **Informal complaints**

- 1. In the first instance, any complaint regarding the actions or decision of an employee shall be raised directly by the person making the complaint with the employee, with the aim of resolving the matter amicably. If the matter cannot be resolved, the employee and the person making the complaint will take the matter to a senior employee.
- 2. If the complaint is by a parent about a student, the complaint shall be raised with a teacher, or in serious cases, with a senior employee such as Coordinator, Deputy or Principal.

# Formal complaints, significant complaints

- 3. Significant matters, such as assault or threats of assault, drug use or supply, sexual exploitation, distribution or access to child pornography, child abuse or neglect, shall be reported to a Principal or Deputy Principal. In these significant matters of potential criminal nature, the relevant principal will involve the police and other relevant government authorities, and will coordinate any school investigation in consultation with the authority.
- 4. Before conducting an investigation or responding, the parties will ensure that the person making a complaint has been given an opportunity to be heard.
- 5. If an investigation into a complaint is required, the person conducting the investigation shall ensure that they are authorised to do so, and are able to undertake the investigation without conflict of interest.
- 6. The investigator shall gather the facts. This may involve requesting the person making the complaint to put the complaint in writing. A form A4.04A is available to assist this process, if required.
- 7. If the complaint is in relation to an employee, make a time to contact the employee concerned. Provide the employee with an outline of the concerns and complaint. If the complaint is of a serious nature (is likely to impact the employee's ongoing employment), the employee shall be encouraged to bring a support person to a meeting. However, the employee is still expected to answer any questions put to them.
- 8. If the employee is likely to interfere with the facts, and the allegation or complaint is serious, the Principal or Head of Schools shall consider suspension on full pay until the investigation is completed.
- 9. Give the employee an opportunity to respond to the complaint. This may include providing some time for the employee to consider their position and gather any facts.
- 10. Update the person making the complaint on progress being made. This doesn't necessarily mean providing them with details of any facts or comments arising during the investigation.
- 11. The person conducting the investigation shall keep all records on the investigation and communication of the outcome of the investigation.
- 12. The outcome of the investigation shall be finalised and appropriate actions taken:
  - a. Communication of the findings and the decision, in writing, and preferably in person, to the person(s) making the complaint and any other people impacted by the complaint.
  - b. If required:
    - i. A formal apology
    - ii. A reconciliation meeting or mediation
    - iii. Counselling for any people affected



- iv. Disciplinary action for employees
- v. Disciplinary action for students
- vi. Request or expectation of any other person
- vii. An action plan
- viii. Changes to process or policy
- ix. Notification of police or other authorities
- 13. If the person making the complaint disagrees with the outcome or finding, due to factual inaccuracies or omissions, or where they believe the decision has not adequately considered the facts, the person may write to the Head of Schools, who may choose (or not) to review the investigation or conduct another investigation.

# **Anonymous and persistent complaints**

From time to time, anonymous complaints may be received. Similarly, persistent complaints may be made by the same person(s). The following points provide a range of responses, depending on the nature and history of the complaint:

- 1. Take note of anonymous complaints and investigate or treat as vexatious, depending on the nature of the complaint.
- 2. Notifying the Chairperson of the Board of Governors of any significant risk and identify additional strategies which are consistent with the risk management strategies of the School.
- 3. Instructing staff that the person making the complaint shall only communicate with the Head of Schools or delegate.
- 4. Indicating to the person making the complaint that no further discussion will be held on the particular issue.
- 5. Seeking advice from AISSA or CSA, or another body.
- 6. Seeking legal advice on how to handle the complaint, including the preparation of a letter by a lawyer to the person making the complaint.
- 7. Seeking an intervention order.
- 8. Seeking involvement of an external mediator.
- 9. In the case of an unresolved complaint, the media may become involved at the request of the person making the complaint or a supporter. The School shall involve AISSA or CSA in cases where support is required to avoid unfair publicity or damage to the School's image or reputation.
- 10. Seeking support for affected staff (including the Head of Schools) through the School's employee assistance program, or similar counselling support.

# **REFERENCES**

- 'Handling complaints natural justice in dealing with staff, parents and students.' Presentation by Sonia Albertini, AISSA, 15 September 2015.
- A4.02 Privacy
- A4.03 Access to personal information
- A4.04A Complaints form
- A4.10 Conflict of interest
- H5.10 Conflict resolution
- S1.10 Media
- S1.16 Information
- T1.02 Parent/teacher conflict resolutionReview



The policy will be reviewed every two years. Review will be conducted by the responsible officer, drawing upon input and feedback from:

- Employees
- Parents/families
- Interested parties
- External changes, such as changes to legislation, good practice

# **POLICY INFORMATION**

Document title and number	Policy A4.04 Complaints	
Classification	A - Management framework	
Sub-classification	A4 – Management policies	
Approver	Board of Governors	
Date approved	25/07/2018	
Date issued	26/07/2018	
Officer responsible for this policy	Director of Corporate Services	



# **FORM NO. A4.04A**

# **COMPLAINTS FORM**

# AIMS OF OUR COMPLAINTS POLICY

It is our aim to work with all members of our school community to ensure that we do the very best we can to provide a productive, safe and enjoyable learning experience for our students and professionally fulfilling experience of our staff.

# **FORMAL COMPLAINTS**

- Use of this form is not compulsory. It can be used to help those making a **formal complaint** to provide information that will be useful in responding to a complaint.
- This form shall be used in conjunction with policy A4.04 'Complaints' which is available from our school website www.tyndale.sa.edu.au .
- If you do not have sufficient space on this form, please attach additional pages.
- The School will treat this information confidentially. However, you should be aware that if your complaint is about another person, the person handling your complaint will generally need to disclose details of the complaint to that person to obtain their response. Please see our Privacy Policy to understand how the School will manage your personal information, and what your rights are.

#### **INFORMAL COMPLAINTS**

If you do not wish your complaint to be treated as a formal complaint, please do not use this form. You are encouraged to make an appointment time with the person(s) to discuss your concerns, with the aim that your concerns will be address or any miscommunication or confusion can be clarified.

# **SERIOUS MATTERS**

Serious matters, such as criminal activities or behaviours, or those matters that are likely to affect the safety or reputation of others will be investigated by the School, irrespective of whether the matter was raised formally or informally.

# WITHDRAWAL OF COMPLAINT

A person can withdraw their complaint at any time. Depending on the nature of the initial compliant, the School may choose to stop resolution of the complaint, or may choose to continue to investigate the matter.

# **USEFUL RESOURCES**

If you have made a complaint or are thinking of doing so, please review these useful online resources:

https://www.kidsmatter.edu.au/families/resources/about-friendship/resolving-conflict http://raisingchildren.net.au/parent\_teamwork\_skills\_managing\_conflict.html



# **PERSON MAKING COMPLAINT**

First		Last			
name:		Name:			
Address		<u>.</u>			
Db (barras)		Dla (vvaula)			
Ph (home)		Ph (work)			
- 1		NA 1 '1			
Email	!	Mobile:			
address:					
DETAILS OF	F THE COMPLAINT				
Please provid	le specific details (who what when	now where)			
Please provide specific details (who, what, when, how, where).					
What impact	has this had on you or the person yo	u represent?			
		```````````			
	le details (who, what, when, how, wh	ere) of attempts i	you have made to resolve		
the issue, and the outcome of these attempts.					
Do you have	any supporting documents or informa	tion which may a	ossist the School?		
	any supporting documents or informa		issist the school:		
Please list these below and attach them to this form.					
Please descri	he the outcome you are seeking and	what you are nre	nared to do to bring about		
Please describe the outcome you are seeking and what you are prepared to do to bring about this outcome?					
uiis outcome:					



# TIPS FOR RESOLVING CONFLICT

**Keep the matter confidential.** It can sometimes be good to get wise counsel from another person who is going to support you but speak without fear or favour. Talking with others about another person just to shore up your position or make you feel good or vindicated will not necessarily bring resolution to the matter – in fact it might complicate the situation if the other person knows that others are involved or aware – particularly if they dispute your perspective.

**Talk to the person concerned – not to others.** Seek to resolve the matter with the person(s) with whom the conflict occurred, or those that are in the best position to resolve the matter. Sometimes, the only action needed to resolve a conflict with another person is to start a conversation with them that is clear, and presently calmly and in a non-accusatory manner.

**Be prepared to forgive; be prepared to ask for forgiveness.** Sometimes this is the hardest thing to do, but the most rewarding in bringing about a resolution. If another person realises that you are prepared to be vulnerable, they might respond likewise.

**What is real and what is perception?** Be careful that you are not inferring views, attitudes or actions which have not occurred or were not intended. Try and check with the other person what you saw or heard to establish if that is what was intended. If someone tells you something that they saw or heard, try to establish what is real from what is perception or guesswork before you go acting on it.

**Seek good and impartial help.** Be prepared to receive tough advice. Seek help to resolve rather than be proven right.

**Don't lose your cool** – stay calm and don't over-react. Sometimes, a matter that could have been easily resolved is made worse by over-reacting. Focus on the problem – not on the emotions. Try and not get personal about the problem.

**Acknowledge differences of opinion.** Everything isn't always black or white; right or wrong. Be prepared to acknowledge different opinions, beliefs or values from your own. You might not agree, but you could respect.

**Recognise different ways of doing things.** People will do things different ways because these have been proven or tested through their own experience or learning; through personal preference; or they may be doing something for the first time and this is the approach they are taking. Allow people to make mistakes, but recognise them for 'having a go'.

**Look for solutions – not barriers.** Ask yourself if you are just trying to prove a point or 'win', or are you serious about resolving conflict. Are you prepared to compromise or give a little in order to solve the problem? Is there some common ground that you both agree on – thereby focussing on what you have in common rather than what you disagree on?

**Are there other factors at play?** Is the conflict really about something else, and the conflict is really the symptom? Do you have other matters that the School can help you with which might be causing or not helping the conflict? E.g. behaviour of your children, financial concerns, tension in the home, differences in ethos?